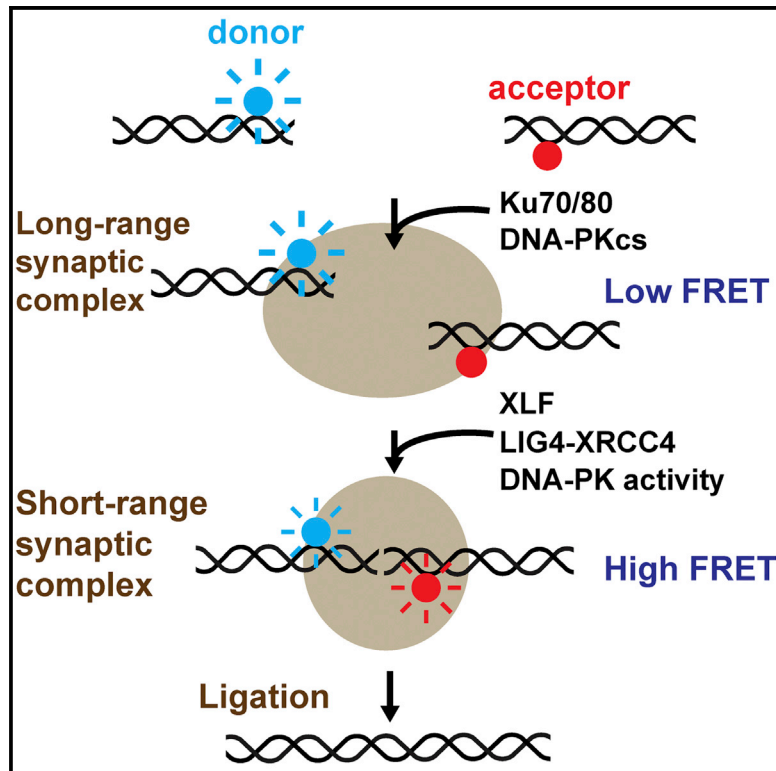


Molecular Cell

Two-Stage Synapsis of DNA Ends during Non-homologous End Joining

Graphical Abstract



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In Brief

Graham et al. use single-molecule fluorescence imaging to monitor DNA repair by non-homologous end joining (NHEJ) in *Xenopus laevis* egg extract. Synapsis of DNA ends is found to proceed through two stages. Different NHEJ factors are required at each stage, and DNA-PK activity is required for the transition between stages.

Highlights

- Non-homologous end joining (NHEJ) was monitored on single DNA molecules
- Synapsis of DNA ends during NHEJ proceeds through two distinct stages
- Different NHEJ factors are required at different stages of synapsis
- DNA-PK activity is required to transition between the two synaptic complexes



Two-Stage Synapsis of DNA Ends during Non-homologous End Joining

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SUMMARY

Repair of DNA double-strand breaks (DSBs) is essential for genomic stability. The most common DSB repair mechanism in human cells, non-homologous end joining (NHEJ), rejoins broken DNA ends by direct ligation. It remains unclear how components of the NHEJ machinery assemble a synaptic complex that bridges DNA ends. Here, we use single-molecule imaging in a vertebrate cell-free extract to show that synapsis of DNA ends occurs in at least two stages that are controlled by different NHEJ factors. DNA ends are initially tethered in a long-range complex whose formation requires the Ku70/80 heterodimer and the DNA-dependent protein kinase catalytic subunit. The ends are then closely aligned, which requires XLF, a non-catalytic function of XRCC4-LIG4, and DNA-PK activity. These results reveal a structural transition in the synaptic complex that governs alignment of DNA ends. Our approach provides a means of studying physiological DNA DSB repair at single-molecule resolution.

INTRODUCTION

Most DNA double-strand breaks (DSBs) in human cells are repaired by non-homologous end joining (NHEJ), a mechanism that directly ligates broken DNA ends (Chiruvella et al., 2013; Radhakrishnan et al., 2014). By using a range of DNA-processing enzymes, NHEJ can join a variety of damaged or mismatched substrates (Ma et al., 2005; Waters et al., 2014a). A drawback of this versatility is the potential to generate mutations, either by inserting or deleting nucleotides during processing or by joining the wrong pairs of ends. Understanding how cells minimize such errors, while ensuring timely repair of DSBs, requires a detailed picture of the protein complex that holds together DNA ends to be processed and ligated.

Broken DNA ends are first bound by the basket-shaped Ku70/80 heterodimer, which recruits the 469 kDa DNA-dependent protein kinase catalytic subunit (DNA-PKcs) to form the DNA-PK holoenzyme (Carter et al., 1990; Dvir et al., 1992, 1993; Gottlieb

and Jackson, 1993; Lees-Miller et al., 1990). DNA-PKcs phosphorylates several NHEJ factors, including itself (Dobbs et al., 2010), and its kinase activity is essential for NHEJ (Dobbs et al., 2010; Jette and Lees-Miller, 2015; Jiang et al., 2015). During classical NHEJ (c-NHEJ), DNA ends are ligated by a complex of DNA ligase IV (LIG4) and XRCC4 (Critchlow et al., 1997; Grawunder et al., 1997). The XRCC4 paralog XLF (XRCC4-like factor) stimulates the activity of the XRCC4-LIG4 complex in vitro and is important for NHEJ in vivo (Ahnesorg et al., 2006; Buck et al., 2006; Gu et al., 2007; Lu et al., 2007; Tsai et al., 2007; Zha et al., 2007). Another recently discovered paralog of XRCC4 and XLF, PAXX, has been implicated in NHEJ, although its function is unclear (Craxton et al., 2015; Ochi et al., 2015; Xing et al., 2015).

Almost all of the factors described above have been proposed to play a role in bridging DNA ends. Early work reported DNA bridging by purified Ku70/80 protein (Ramsden and Gellert, 1998, but see Cottarel et al., 2013). In addition, DNA-PK holoenzyme complexes assembled with purified Ku70/80 and DNA-PKcs can dimerize to bridge DNA ends (Cary et al., 1997; DeFazio et al., 2002; Hammel et al., 2010; Spagnolo et al., 2006). Similar DNA pull-down experiments in a human cell-free extract support a role for Ku and DNA-PKcs in synapsis of DNA ends and additionally implicate LIG4, independent of its catalytic activity (Cottarel et al., 2013). Purified XLF and XRCC4 interact to form long, alternating oligomers capable of bridging DNA molecules in vitro (reviewed in Mahaney et al., 2013). However, a recent report that XRCC4-XLF interactions are dispensable for NHEJ in some cell types (Roy et al., 2015) suggests that XLF-XRCC4 filaments are not universally required for synapsis. Collectively, these observations have not coalesced into a coherent model of physiological synaptic complex assembly. Specifically, the steps in this process and the roles of individual NHEJ factors are unknown.

Here, we address these questions by visualizing joining of fluorescently labeled DNA ends in *Xenopus laevis* egg extracts, which support highly efficient NHEJ. We first demonstrate that ligation in this system requires Ku70/80, DNA-PKcs, DNA-PKcs kinase activity, XLF, and XRCC4-LIG4, indicating that it occurs by a physiological mechanism. Next, we present a single-molecule Förster resonance energy transfer (FRET) assay that reveals two conformational stages in end synapsis: (1) a long-range complex in which DNA ends are tethered but too far apart to detect FRET between end-proximal dyes and (2) a short-range complex

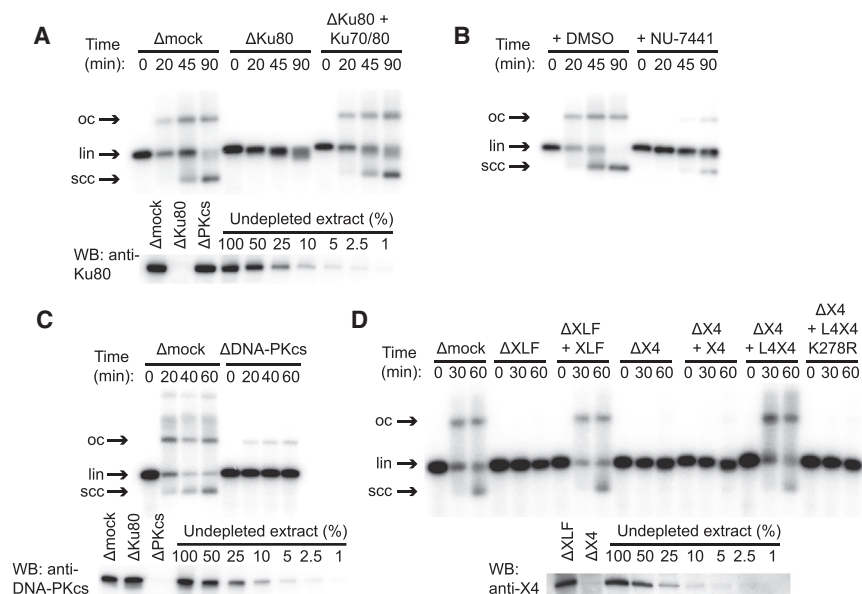


Figure 1. End Joining in *Xenopus* Egg Extract Depends on c-NHEJ Factors

(A) Inhibition of end joining by immunodepletion of Ku70/80 with α Ku80 antibody and rescue with recombinant *X. laevis* Ku70/80. lin, linear DNA substrate; oc, open-circular products; scc, supercoiled closed-circular products. In other conditions, Ku immunodepletion selectively inhibited circularization, as previously reported (Figure S1C) (Labhart, 1999; Di Virgilio and Gautier, 2005).

(B) Inhibition of end joining by the DNA-PK inhibitor NU-7441.

(C) DNA-PKcs immunodepletion.

(D) Immunodepletion of XLF (Δ XLF) or XRCC4 (Δ X4) and rescue with recombinant *X. laevis* XLF, XRCC4 (X4), wild-type LIG4:XRCC4 (L4X4), or catalytically inactive LIG4^{K278R}:XRCC4 (L4X4 K278R).

Lower panels in (A), (B), and (D) are western blots of immunodepleted extract with indicated antibodies. Uncropped blots are shown in Figures S1F–S1H. XLF was not clearly visible in western blots of extract, but immunoprecipitated XLF was detected by western blotting and mass spectrometry (Figures S1J and S1K). See also Figure S1.

in which DNA ends are closely apposed. Using small-molecule inhibitors, immunodepletion, and rescue with purified proteins, we define the roles of NHEJ factors at these two stages of synapsis. We find that long-range complex formation requires Ku70/80 and DNA-PKcs, but not DNA-PK catalytic activity. Subsequent transition to the short-range complex requires DNA-PK catalytic activity, XLF, and XRCC4-LIG4, but not LIG4 catalytic activity. These results define the molecular requirements for physiological NHEJ synaptic complex assembly and reveal that a programmed rearrangement of this complex is required for close alignment of DNA ends.

RESULTS

Validation of an In Vitro NHEJ System

To study NHEJ in vitro under physiological conditions, we used a cell-free extract of *X. laevis* eggs, which contains the entire soluble proteome and packages added DNA into nucleosomes (Laskey et al., 1977). Previous work showed that egg extract efficiently joins both compatible and incompatible DNA ends in a manner that depends on Ku70/80 and DNA-PK activity (Labhart, 1999; Postow et al., 2008; Thode et al., 1990; Di Virgilio and Gautier, 2005). Similarly, we observed that joining of blunt-ended linear DNAs was inhibited by immunodepletion of Ku (Figure 1A) and restored with recombinant *X. laevis* Ku70/80 (Figure 1A). End joining was also inhibited by small-molecule inhibitors or immunodepletion of DNA-PKcs, indicating that not only the presence but also the kinase activity of DNA-PKcs is required for end joining (Figures 1B, 1C, and S1A). Immunodepletion of XLF likewise abolished end joining, which was restored by recombinant *X. laevis* XLF protein (Figure 1D). Consistent with previous results suggesting a stable complex between XRCC4 and LIG4 (Bryans et al., 1999), anti-XRCC4 immunoprecipitates contained an adenylated protein of the molecular weight expected for *X. laevis*

LIG4 (Figure S1B). Immunodepletion of extract with anti-XRCC4 antibody eliminated end joining, and end joining was restored by recombinant *X. laevis* LIG4:XRCC4 complex but not catalytically inactive LIG4^{K278R}:XRCC4 (Cottarel et al., 2013), XRCC4 alone, or XLF (Figures 1D and S1D). Taken together, these results demonstrate that *X. laevis* egg extract joins DNA ends efficiently in a manner that requires the c-NHEJ factors Ku70/80, DNA-PKcs, XLF, and LIG4:XRCC4, as well as DNA-PK catalytic activity.

Two-Stage Synapsis of DNA Ends during NHEJ

To address which NHEJ factors are required for synapsis of DNA ends in this system, we used a combination of single-molecule co-localization and FRET. A blunt-ended 100 bp DNA duplex labeled with Cy3 near one end and biotinylated at the other end (Cy3-DNA) was attached to a streptavidin-coated glass coverslip within a microfluidic channel (Figure 2A). Next, a blunt-ended 100 bp DNA duplex labeled near both ends with Cy5 (Cy5-DNA) was added to egg extract and drawn into the channel. In each case, Cy3 and Cy5 labels were placed seven nucleotides from the DNA end, which did not disrupt end joining (Figures S2A and S2B). Surface-tethered DNAs were imaged using total internal reflection illumination, alternating between excitation of Cy3 with a 532 nm laser and Cy5 with a 641 nm laser. Using a frame integration time of 1 s, only Cy5-DNAs tethered to Cy3-DNAs appeared as discrete spots (Figure S2C). FRET between Cy3 and Cy5 indicated close association between the two dyes.

Single-molecule intensity traces revealed a time delay between Cy5-DNA binding to Cy3-DNAs and the appearance of FRET (t_{lag} in Figures 2B and S2C). We refer to the Cy5-DNA-bound, low-FRET state as a long-range synaptic complex, because the dyes are outside of the ~ 100 Å maximum FRET radius, and the subsequent high-FRET state as a short-range synaptic complex. The lag time between long-range and short-range complex

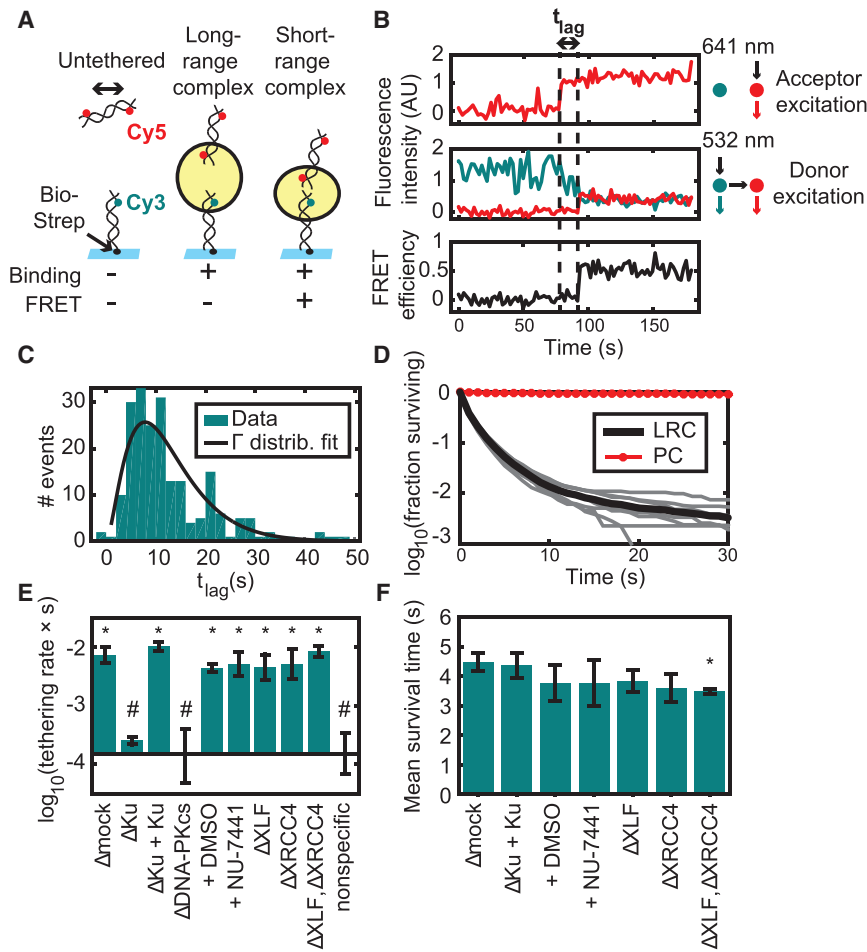


Figure 2. Two-Stage Synapsis of DNA Ends

(A) Schematic of the intermolecular tethering assay. A 100 bp DNA duplex biotinylated at one end (Bio) and labeled 7 bp from the other end with Cy3 was attached to a glass surface coated with streptavidin (Strep). A second 100 bp duplex labeled 7 bp from each end with Cy5 was added to egg extract and introduced into the flowcell. Cy5-DNA binding and FRET were monitored by alternating excitation of Cy3 and Cy5. The yellow circle represents the protein complex that bridges DNA ends.

(B) Example trajectory showing a lag time (t_{lag}) between Cy5-DNA binding and transition to a high-FRET state. Top: Cy5 signal with Cy5 (641 nm) excitation, which appears upon Cy5-DNA binding to the stationary Cy3-DNA. Middle: Cy3 (cyan) and Cy5 (red) signal with Cy3 (532 nm) excitation. Bottom: calculated FRET efficiency.

(C) Histogram of lag times between binding and transition to high FRET ($n = 207$ trajectories). A fit to a gamma distribution ($k = 2.7$, $\theta = 4.7$ s) suggests that multiple steps are required for the long-to-short-range transition, each with a time constant on the order of seconds.

(D) Survival curve of long-range complexes (LRCs) in mock-immunodepleted extract ($n = 57921$ events) and biotinylated Cy5-DNAs as a photo-stability control (PC; $n = 3,907$ molecules). The thick black line shows the mean of nine experimental replicates (thin gray lines).

(E) Long-range complex formation rate in extract immunodepleted of different factors or treated with DNA-PK inhibitor (NU-7441) or vehicle (DMSO). Elements of the two sets of conditions labeled * and # are significantly different from elements of the other set ($p < 10^{-5}$, ANOVA with Tukey's post hoc test). Error bars indicate $\pm 2 \times$ SEM. See Table S1 for sample sizes.

(F) Mean survival time of tethered complexes was similar among different conditions, although slightly reduced by XLF/XRCC4 double depletion ($p = 0.03$ compared with Δ mock, ANOVA with Tukey's post hoc test). Error bars indicate $\pm 2 \times$ SEM. XLF and XRCC4 depletion also appeared to reduce the fraction of long-range complexes that were extremely long lived (see Figure S2D). See also Figure S2 and Table S1.

formation was not exponentially distributed, implying that this transition involves more than a single rate-limiting step (Figure 2C). Most long-range complexes were short lived, with 59% lasting only a single Cy5 excitation frame (~ 2 s; see Figure 2D). Among the 14% of long-range complexes that survived at least four Cy5 excitation frames (~ 8 s), about 1% progressed to a high-FRET state, while the rest dissociated (see Supplemental Experimental Procedures and Figure S4B). The low transition probability from the long-range to the short-range complex suggests that broken DNA ends typically interact many times before stably associating. Such repeated interactions would likely be facilitated by constrained diffusion of broken DNA ends within chromosomes (see also below) (Jakob et al., 2009; Kruhlak et al., 2006; Lucas et al., 2014; Soutoglou et al., 2007).

Molecular Requirements for Initial Tethering of DNA Ends

We next investigated the requirements for long-range synaptic complex formation. Immunodepletion of Ku70/80 or DNA-PKcs

reduced the rate of long-range complex formation >30 -fold, to a level that was indistinguishable from nonspecific Cy5-DNA binding to the surface in the absence of Cy3-DNAs (Figure 2E). The defect in Ku-depleted extract was reversed by addition of recombinant Ku70/80. In contrast, the rate of long-range complex formation was unaffected by kinase inhibitors of DNA-PK or immunodepletion of XRCC4-LIG4, XLF, or both (Figure 2E). The average survival time of long-range complexes was also similar among these conditions (Figure 2F). These results argue that the DNA-PK holoenzyme, independent of its catalytic activity, forms the initial long-range bridge between broken DNA ends.

Requirements for Short-Range Synapsis of DNA Ends

We next addressed which factors are required for the formation of the short-range synaptic complex. Because of the relatively low yield of high-FRET complexes in our intermolecular tethering assay, we designed an intramolecular NHEJ substrate to increase the frequency of collisions between DNA ends. A 2,000 bp PCR product with Cy3 and Cy5 labels incorporated

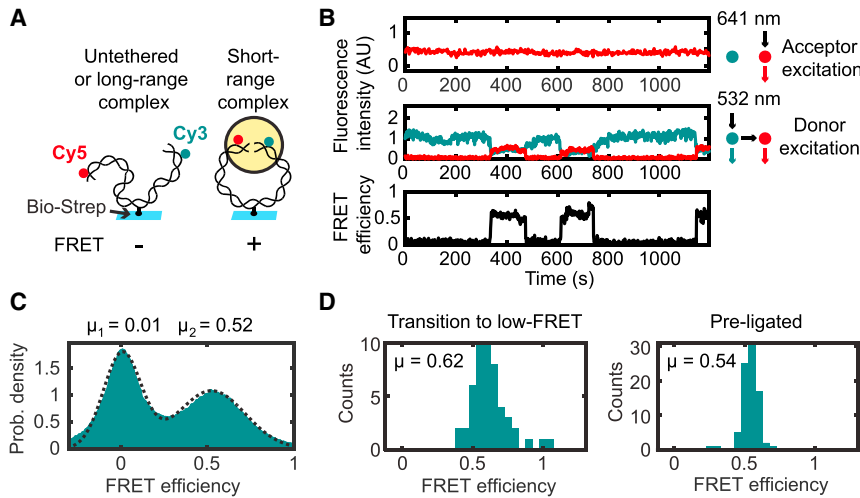


Figure 3. Single-Molecule Circularization Assay

(A) A 2 kb, blunt-ended DNA labeled 7 bp from one end with Cy3 and 7 bp from the other end with Cy5 was tethered by an internal biotin-streptavidin attachment to a glass coverslip, and FRET between Cy3 and Cy5 was measured after egg extract was added to the flowcell.

(B) Multiple transitions on a single substrate between low and high FRET. Top: Cy5 fluorescence intensity with direct (641 nm) excitation. Middle: Cy3 (cyan) and Cy5 (red) fluorescence with Cy3 (532 nm) excitation. Bottom: FRET efficiency.

(C) Histogram of FRET efficiencies in mock-immunodepleted extract, compiled over all substrates and all frames in three 30 min time course experiments ($n = 434,397$ substrates \times frames).

(D) Histograms of average FRET efficiencies from high-FRET segments of long time course trajectories. Left: high-FRET complexes in extract that subsequently transitioned to a low-FRET state (indicating that they were unligated; $n = 50$ trajectory segments). Right: gel-purified circular standard generated by T4 DNA ligase ($n = 83$ trajectory segments).

See also Figure S3.

7 bp from either blunt end was bound to a coverslip by an internal biotin-streptavidin attachment (Figure 3A). Addition of egg extract led to the appearance of high-FRET complexes (Figures 3B and S3), some of which transitioned back to a low-FRET state (Figures 3B and S3H–S3O). Data compiled for many substrates showed a bimodal distribution of FRET efficiencies (Figure 3C). The center of the high-FRET peak in this distribution ($E_{\text{FRET}} = 0.52$) was similar to the FRET efficiency of DNA molecules that were pre-ligated with T4 DNA ligase before imaging in egg extract ($E_{\text{FRET}} = 0.54$), indicating close alignment of DNA ends within high-FRET complexes (Figures 3C and 3D). A similar mean FRET efficiency was seen for the subset of high-FRET molecules that subsequently reverted to a low-FRET state ($E_{\text{FRET}} = 0.62$). These results indicate that within the short-range complex, DNA ends are closely juxtaposed, even before ligation (Figure 3D).

To measure the kinetics of short-range synapsis while avoiding photobleaching, we sampled fresh fields of view every ~ 18 s after extract addition and obtained FRET efficiency histograms at each time point, which are displayed as kymographs in Figures 4A and 4B. In unperturbed extract, substrates transitioned from an initial zero-FRET state to a final state with FRET efficiency of ~ 0.5 (Figure 4A). The kinetics of this short-range synapsis were quantified by plotting the fraction of substrates with FRET efficiency > 0.25 as a function of time (e.g., the black curve in Figure 4E summarizes Figure 4A). As expected from a sequential mechanism of synaptic complex assembly, immunodepletion of Ku70/80 or DNA-PKcs, which disrupts formation of the long-range synaptic complex (Figure 2E), also prevented formation of the short-range synaptic complex (Figures 4C and 4D). In contrast to the long-range synaptic complex, the short-range synaptic complex was abolished by small-molecule inhibitors of DNA-PK or immunodepletion of XLF or XRCC4-LIG4 (Figures 4E, 4F and 5A). Purified XLF protein rescued short-range synapsis in

XLF-depleted extract (Figure 4F). Analogous results were seen for short-range complex formation in the intermolecular synapsis assay, although not all comparisons were statistically significant (Figure S4). Collectively, these results show that in addition to the factors required to form the long-range complex (Ku70/80 and DNA-PKcs), formation of the short-range complex requires DNA-PK catalytic activity, XLF, and XRCC4-LIG4.

A Non-catalytic Role for LIG4 in Short-Range Synapsis

Interestingly, short-range synapsis in XRCC4-depleted extract was rescued by LIG4:XRCC4 but not by XRCC4 alone (Figures 5A and S11), indicating that LIG4 is required for short-range synapsis of DNA ends. Catalytically inactive LIG4^{K278R}:XRCC4 also rescued short-range complex formation, although high-FRET complexes accumulated to a lower level (Figure 5A), were shorter lived than high-FRET complexes formed in undepleted extract (Figure 5B), and were dissociated by 1% SDS (Figure 5C), consistent with synapsis without ligation. Short-range complexes formed in the presence of catalytically inactive LIG4:XRCC4 were nonetheless much longer lived than the long-range synaptic complex (compare Figures 2D and 5B). These results reveal that the presence of LIG4, independent of its catalytic activity, is required for a conformational transition in the synaptic complex that aligns DNA ends and stabilizes their association.

DISCUSSION

Here, we report that in addition to Ku70/80 and DNA-PK (Lahart, 1999; Postow et al., 2008; Di Virgilio and Gautier, 2005), end joining in *X. laevis* egg extract requires the NHEJ factors XLF and XRCC4-LIG4. These results further validate egg extracts as a physiologically realistic in vitro system for studying NHEJ. Using single-molecule fluorescence imaging in extract,

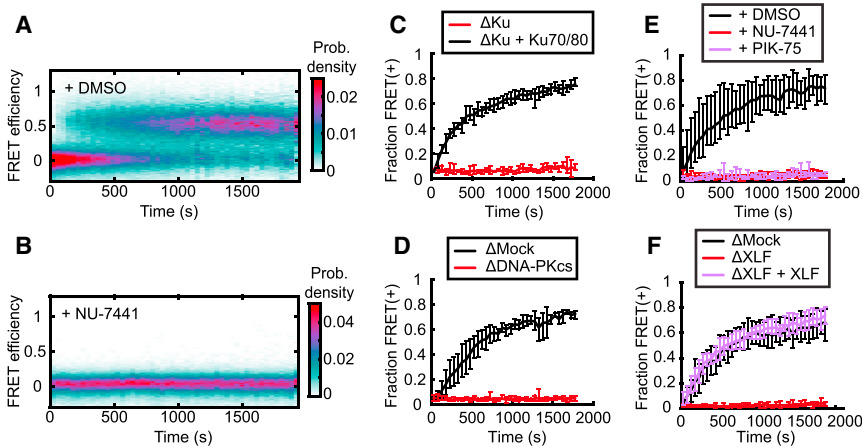


Figure 4. Requirements for Short-Range Synapsis of DNA Ends

(A and B) Time-resolved FRET efficiency histograms for a population of substrates in extract treated with NU-7441 (B) or DMSO solvent control (A).

(C–F) Fraction of circularization substrates with a FRET efficiency greater than 0.25 as a function of time after extract addition. Mean (thick line) and range (error bars) of all replicates. See Table S2 for sample sizes. (C) Ku-immunodepleted extract or Ku-immunodepleted extract supplemented with purified Ku70/80 ($p = 0.003$, t test of overall fraction FRET positive by replicate). (D) DNA-PKcs-immunodepleted extract and mock depletion with pre-immune IgG ($p = 0.008$, t test of overall fraction FRET positive by replicate). (E) Treatment with NU-7441, another DNA-PK inhibitor (PIK-75), or DMSO solvent ($p = 0.002$ for DMSO versus NU-7441, $p = 0.014$ for DMSO versus PIK-75, NU-7441 versus PIK-75 comparison not significant; t test with

Bonferroni correction of overall fraction FRET positive by replicate). Black and red curves are derived from the histograms in (A) and (B), respectively. (F) Immunodepletion of XLF and rescue with recombinant XLF protein ($p = 0.0007$ for Δ Mock versus Δ XLF, $p = 0.0014$ for Δ XLF versus Δ XLF + XLF, Δ Mock versus Δ XLF + XLF comparison not significant, t test with Bonferroni correction of overall fraction FRET positive by replicate).

See also Figure S4 and Table S2.

we have monitored a complete DSB repair reaction at nanometer resolution in real time.

Our results identify two stages of DNA end synapsis during NHEJ (Figure 6). Ku70/80 and DNA-PKcs form an initial long-range complex in which DNA ends are held sufficiently far apart that no FRET is detected between the Cy3 and Cy5 labels. The absence of FRET in this initial complex is not surprising given the large dimensions of DNA-PKcs ($\sim 160 \times 120 \times 100 \text{ \AA}$ for a monomer) (Sibanda et al., 2010). Conversion of the long-range synaptic complex to the short-range complex, in which the DNA ends are closely aligned, requires DNA-PK catalytic activity, XLF, and LIG4:XRCC4, but not LIG4 catalytic activity.

Given that our extract system contains the complete soluble proteome, and that end joining depends on key NHEJ factors, it is reasonable to expect that the stepwise process of synapsis that we observe in vitro also occurs in intact cells. However, it is likely that the kinetics of the process are different between extract and intact cell nuclei, given differences in the overall concentration of DNA and repair factors. We also cannot exclude the possibility that alternative mechanisms of synapsis might occur in intact cells. For instance, DNA bridging interactions within chromatin domains larger than our in vitro substrates (Bassing and Alt, 2004) might increase the effective local concentration of DNA ends, facilitating subsequent long- and short-range complex formation.

Recently, Reid et al. (2015) proposed a model in which XLF, XRCC4, and LIG4 filaments initially bridge DNA ends in a side-by-side orientation. The ends subsequently slide past one another, aligning them for ligation. This model is based primarily on their observation that in reactions containing Cy3- and Cy5-labeled DNA duplexes and purified Ku70/80, XLF, XRCC4, and LIG4, FRET efficiency fluctuated in individual traces, resulting in a broad distribution of values. However, it is unclear whether DNA bridging complexes formed under these conditions were physiological, given that DNA-PKcs was not present in the mixture and that ligation was not shown to depend on Ku70/80

or XLF. In contrast, our extract system ligates DNA ends in a manner that requires key NHEJ factors, including DNA-PKcs. A central role for DNA-PK in synaptic complex formation is consistent with its importance for NHEJ in vivo (Dobbs et al., 2010; Jette and Lees-Miller, 2015; Jiang et al., 2015; Lees-Miller et al., 1995; Peterson et al., 1995). Notably, the model of Reid et al. (2015) would predict that in our experiments, formation of the short-range complex is preceded by a transient high-FRET intermediate as donor and acceptor dyes slide past each other, yet this is not observed (Figures 3B and S3H–S3O). Instead, our FRET efficiency measurements exhibit a bimodal distribution indicating that alignment of DNA ends involves a transition between discrete structural intermediates. Reid et al. (2015) also based their model on super-resolution images of fixed cells in which a fraction of immunostained XRCC4, XLF, and LIG4 foci co-localized with Ku or TUNEL staining and appeared filamentous. However, these experiments lack the resolution to determine the location of DNA ends relative to each other and therefore cannot distinguish between different models of synaptic complex assembly. Thus, we believe that our cell extract-based approach currently provides the highest resolution view of physiological end joining.

Our result that LIG4 is required for synapsis independent of its catalytic activity is in line with previous results from pull-down experiments in human cell extracts (Cottarel et al., 2013). Although Cottarel et al. (2013) observed an overall decrease in DNA bridging in the absence of LIG4, we find that LIG4 is required for short-range but not long-range synapsis. Our observations and those of Cottarel et al. (2013) are reconciled by the fact that the short-range complex is much longer lived than the long-range complex (Figures 2D and 5B). Although an ensemble pull-down assay could potentially detect both complexes, our results suggest it would preferentially detect the short-range complex, which would dissociate more slowly than the long-range complex during wash steps. This interpretation also explains why depletion of Ku70/80 or DNA-PKcs, which disrupt

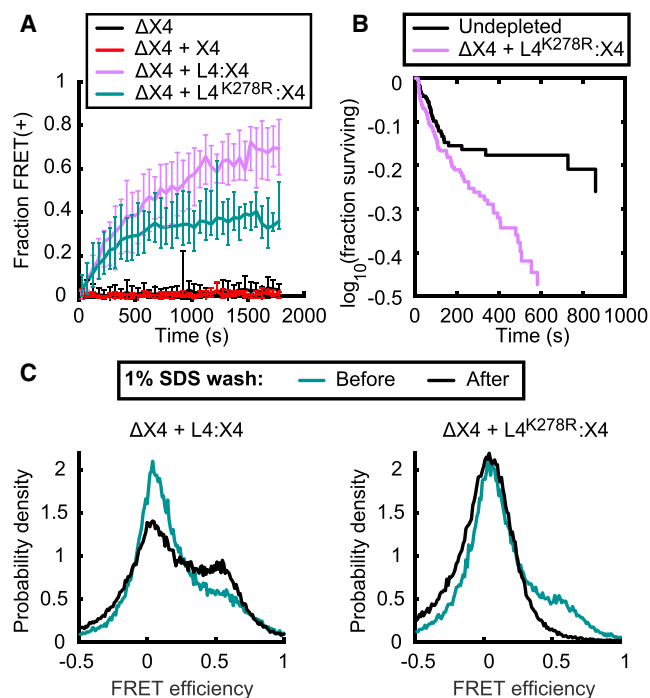


Figure 5. A Non-catalytic Role of LIG4 in Short-Range Synapsis

(A) Kinetics of circularization, as in Figure 4, for extract immunodepleted of XRCC4 ($\Delta X4$). Mean (thick line) and range (error bars) of all replicates. Successful rescue with recombinant wild-type or catalytically inactive (K278R) LIG4:XRCC4 complex (L4:X4) but not XRCC4 alone (X4). $p = 0.0004$ for $\Delta X4$ versus $\Delta X4 + L4:X4$, $p = 0.0009$ for $\Delta X4$ versus $\Delta X4 + L4^{K278R}:X4$, $\Delta X4$ versus $\Delta X4 + X4$ comparison not significant, t test with Bonferroni correction of overall fraction FRET positive by replicate.

(B) Kaplan-Meier survival curves of high-FRET complexes formed in undepleted extract ($n = 124$) or XRCC4-depleted extract supplemented with LIG4^{K278R}:XRCC4 ($n = 180$). The two curves differ significantly ($p = 0.01$, two-tailed log-rank test).

(C) FRET efficiency histograms before and after a 1% SDS wash of substrates incubated for 30 min in XRCC4-depleted extract supplemented with either wild-type or catalytically inactive (K278R) LIG4:XRCC4. Left: the apparent increase in the high-FRET population after SDS wash is likely due to the fact that the pre-wash histogram is compiled from a time-lapse movie in extract, during which the high-FRET population was increasing.

See also Figures S4 and S5 and Table S2.

both long- and short-range complex formation in our assay, caused a more complete DNA bridging defect than LIG4 depletion in ensemble experiments (Cottarel et al., 2013).

An important question is how end processing is coordinated with the two stages of end synapsis. Previous work suggested that autophosphorylation induces a conformational change in DNA-PKcs that makes bound DNA ends accessible to processing enzymes (Calsou et al., 1999; Ding et al., 2003; Dobbs et al., 2010; Hammel et al., 2010; Weterings et al., 2003). The DNA-PK-dependent conformational transition that we observe in the synaptic complex may therefore regulate not only ligation but also processing of DNA ends. Consistent with this idea, XRCC4-LIG4 and XLF, which we have shown are also required for this conformational transition, are necessary for DNA-PKcs autophosphorylation and for some types of end processing (Ako-

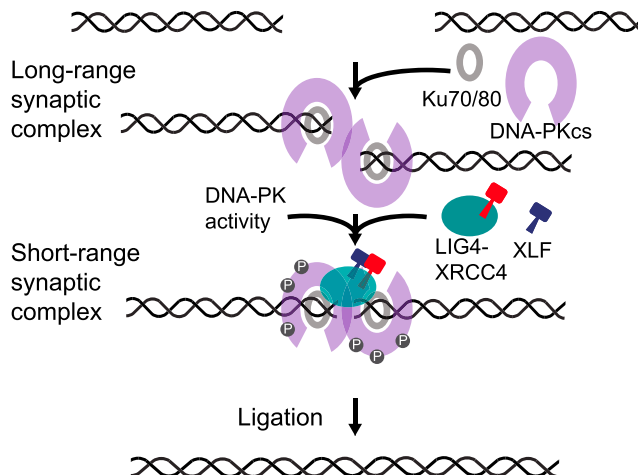


Figure 6. Two-Stage Model of NHEJ Synaptic Complex Assembly

DNA ends are initially tethered in a long-range complex and then brought together after several seconds into a short-range complex. Formation of the initial long-range complex requires Ku70/80 and DNA-PKcs, whereas formation of the short-range complex requires DNA-PK catalytic activity, XLF, and LIG4:XRCC4, but not LIG4 catalytic activity. Close alignment of the ends in the short-range complex positions them to be ligated.

plants et al., 2009; Cottarel et al., 2013; Lee et al., 2003). Coupling LIG4 recruitment with the switch to a processing-competent state would position LIG4 to ligate broken ends as soon as they are compatible (Waters et al., 2014b), helping minimize genetic alterations during NHEJ.

EXPERIMENTAL PROCEDURES

Egg Extract Preparation

High-speed supernatant (HSS) of egg cytosol was prepared as described previously (Lebofsky et al., 2009). All experiments with animals were approved by the Harvard Medical Area Institutional Animal Care and Use Committee.

Cloning and Protein Purification

See Supplemental Experimental Procedures.

Bulk End Joining Assays

Extract was supplemented with nocodazole to a final concentration of 7.5 ng/ μ l if nocodazole had not already been added prior to immunodepletion. For the experiments shown in Figure 1, 10 μ l extract was mixed with 0.5 μ l of ~ 20 ng/ μ l radiolabeled linear substrate DNA (see Supplemental Experimental Procedures for description of substrate preparation), 1 μ l of 1 μ g/ μ l closed-circular pBluescript II DNA, and 0.3 μ l of an ATP regeneration mixture containing 65 mM ATP, 650 mM phosphocreatine, and 160 ng/ μ l creatine phosphokinase (Sigma, Catalog No. C-3755; Type I from rabbit muscle). The addition of closed-circular "carrier" DNA to the reaction was necessary for efficient end joining of dilute linear substrate, similar to the dependence on total DNA concentration seen for DNA replication in extract (Lebofsky et al., 2011). An initial 2 μ l sample (0 min) was withdrawn while the reactions were on ice and mixed with 5 μ l stop solution and loading dye (80 mM Tris [pH 8], 8 mM EDTA, 0.13% phosphoric acid, 10% Ficoll, 5% SDS, 0.2% bromophenol blue). Reactions were transferred to room temperature, and additional 2 μ l samples were withdrawn at the indicated times and mixed with 5 μ l stop solution and loading dye. Samples were digested at room temperature for at least 1 hr with 1 μ g proteinase K per sample, and products were separated by electrophoresis on a 1 \times Tris-borate-EDTA, 0.8% agarose gel. Gels were sandwiched between filter paper and a

HyBond-XL nylon membrane (GE Healthcare), dried on a gel dryer, and exposed to a storage phosphorscreen, which was imaged using a Personal Molecular Imager (BioRad) or Typhoon FLA 7000 imager (GE Healthcare Life Sciences).

Antibody Generation

Antibodies were raised in rabbits by New England Peptide against synthetic peptides corresponding to C-terminal sequences from *X. laevis* XLF (Ac-CGASKPKKKAKGLFM-OH), XRCC4 (Ac-CKNTPDPDDLFSDI-OH), and Ku80 (Ac-CMEDEGDVDDLLDMM-OH). Antibodies were affinity-purified by the supplier using resin coupled to the corresponding peptide through its N-terminal cysteine. Anti-DNA-PKcs antibody was raised by Pocono Rabbit Farm and Laboratory against an insoluble fragment of *X. laevis* DNA-PKcs spanning the PIKK and FATC domains (see [Supplemental Experimental Procedures](#)). For affinity purification of antibody, antigen dissolved in 1× PBS with 6 M urea and 5 mM β-mercaptoethanol was coupled to AminoLink Coupling Resin (Thermo Fisher Scientific), following the manufacturer's instructions. Rabbit serum was passed over antibody-coupled resin by gravity flow, and the resin was washed with 1× PBS. Bound antibody was eluted with 200 mM glycine (pH 2.6), and elution fractions were rapidly neutralized with 0.14 volumes of 1 M Tris-HCl (pH 8.8). Mock IgG for the experiment in [Figure 1B](#) was purified by protein A Sepharose affinity chromatography from pre-immune serum of the rabbit used to produce anti-DNA-PKcs antibody. Antibody was eluted from the resin as described above. Details about immunodepletion and rescue experiments can be found in [Supplemental Experimental Procedures](#).

Western Blotting

Extract samples for western blotting were diluted with four volumes of SDS-PAGE sample buffer, and additional serial dilutions were made of undepleted extract in SDS-PAGE sample buffer. Samples were separated by SDS-PAGE, transferred to polyvinylidene fluoride membranes, and probed with the indicated antibodies. Details can be found in [Supplemental Experimental Procedures](#).

Single-Molecule Tethering Assay

Biotin-100 bp-Cy3 duplexes (see [Supplemental Experimental Procedures](#)) were tethered at a 1:1,000 dilution on a streptavidin-coated coverslip in degassed egg lysis buffer (ELB; 10 mM HEPES [pH 7.7], 50 mM KCl, 2.5 mM MgCl₂) for 3–5 min, after which the flowcell was washed with 200 μl ELB (see [Supplemental Experimental Procedures](#) for details about microscope and flowcell construction). HSS (25 μl) was mixed with 2.5 μl of 1 mg/ml closed-circular pBluescript II DNA, 0.8 μl of ATP regeneration mix (see [Bulk End Joining Assays](#)), 0.6 μl of 250 mM protocatechuic acid (PCA) in ELB (adjusted to pH 7.7), 0.6 μl of 5 μM protocatechuate 3,4-dioxygenase (PCD; storage buffer 10 mM HEPES [pH 7.5], 50 mM KCl, 1.25 mM MgCl₂, 50% glycerol), 0.6 μl of 50 mM Trolox (6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid) in DMSO, and 1 μl of 100 nM Cy5-labeled 100 bp duplex, in that order. PCA and PCD form an oxygen-scavenging system, while Trolox serves as a triplet-state quencher. The extract was drawn into the channel, and images were acquired continuously at a rate of 1 s/frame with alternating 532 and 641 nm laser excitation. The surface power density was 4 W/cm² for the 532 nm laser and 0.9 W/cm² for the 641 nm laser. To determine the nonspecific background rate of tethering ([Figure 2E](#)), Biotin-100 bp-Cy3 duplexes were omitted, and the correct plane of focus was maintained by imaging 605 nm quantum dots (Life Technologies) nonspecifically adsorbed to the surface. For Cy5 photostability measurements ([Figure 2D](#)), a biotinylated, Cy5-labeled PCR product was imaged in egg extract under the same imaging conditions. Data were analyzed using custom MATLAB (The MathWorks) scripts (see [Supplemental Experimental Procedures](#)).

Single-Molecule Circularization Assay

The intramolecular end joining substrate shown in [Figure 3A](#) (see [Supplemental Experimental Procedures](#)) was tethered to a streptavidin-coated coverslip within a flowcell channel. An extract mixture was prepared as described above for single-molecule tethering experiments and drawn into

the flowcell. For the experiments shown in [Figures 3C, 4, and 5A](#), 100 ms exposures were taken stroboscopically every 1 s, alternating between two frames of 532 nm excitation (surface power density 16 W/cm²) and one frame of 641 nm excitation (surface power density 7 W/cm²). A different field of view was typically imaged every 18 frames. To obtain the kymographs shown in [Figures 4A and 4B](#) and the kinetic traces shown in [Figures 4C–4F and 5A](#), FRET efficiency data from all replicates were pooled and binned in 36 s windows. For long-time-course imaging ([Figures 3B, 3D, 5B, and S3](#)), images were taken continuously at a rate of 1 frame/s, alternating between 2 frames of 532 nm excitation (surface power density 4 W/cm²) and 1 frame of 641 nm excitation (surface power density 0.9 W/cm²). Data analysis is described in [Supplemental Experimental Procedures](#).

SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Experimental Procedures, five figures, and two tables and can be found with this article online at <http://dx.doi.org/10.1016/j.molcel.2016.02.010>.

AUTHOR CONTRIBUTIONS

All authors designed experiments and wrote the manuscript. T.G.W.G. performed experiments and data analysis.

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